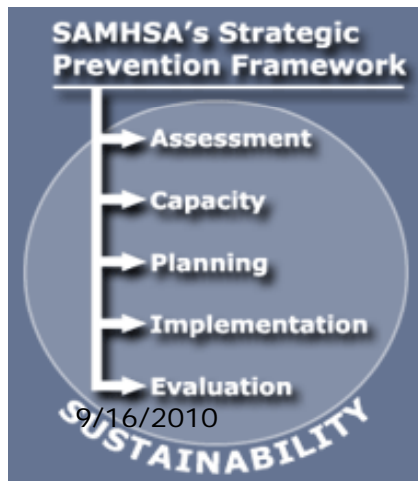


# SPF SIG Kick Off Meeting

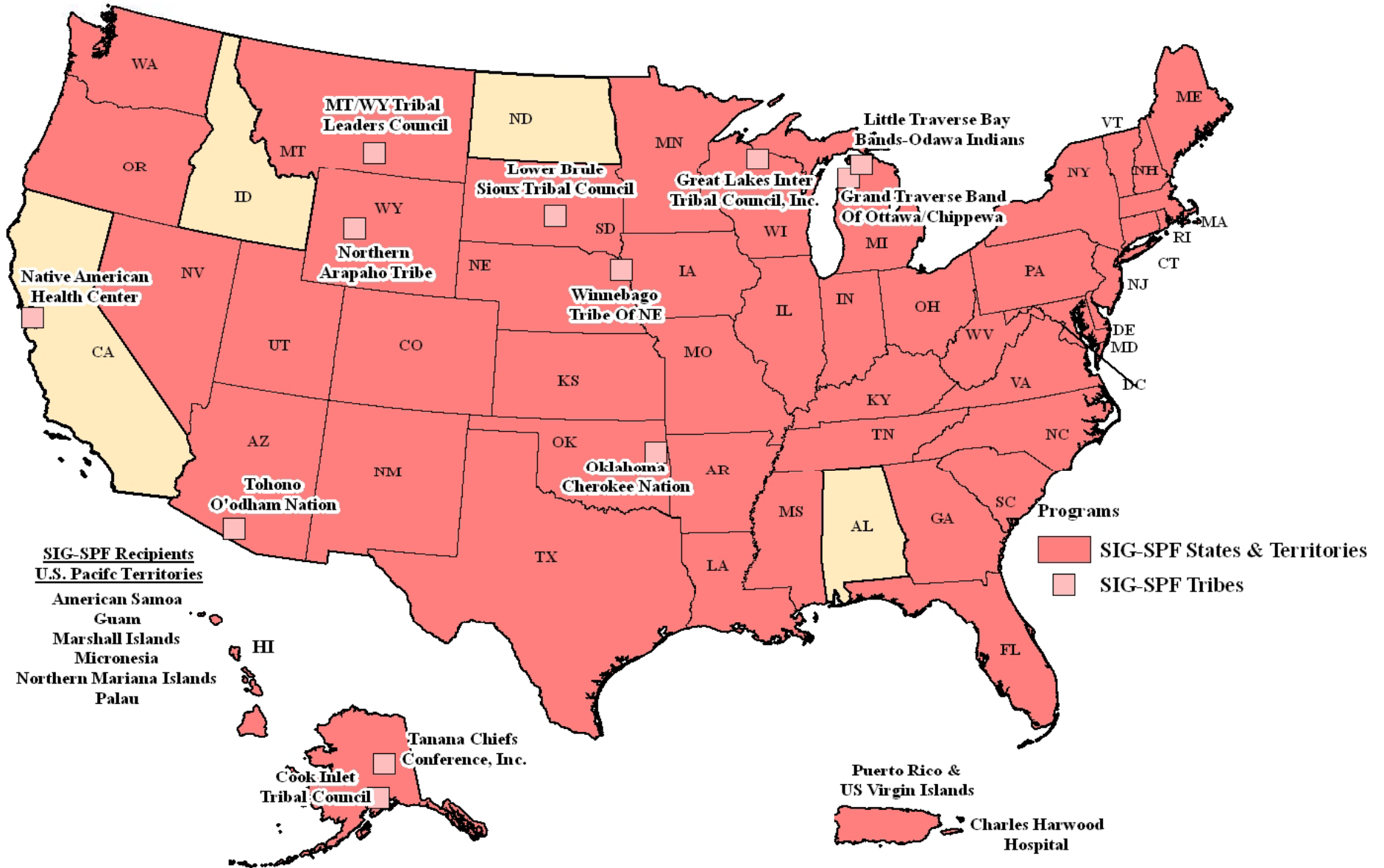
By Jane Goble-Clark  
SWRET Director  
On behalf of  
William I. Reyes  
Public Health Advisor

Center For Substance Abuse Prevention



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# Center for Substance Abuse Prevention Programs of Regional & National Significance Funded and Active in 2010



# Very Important!!!

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- ❑ This is not a grant, it is a cooperative agreement, which requires significant government involvement.
- ❑ The funds are intended to expand an existing or build a new Substance Abuse Prevention infrastructure that can be sustained long after the cooperative agreement has ended.
- ❑ The SPF SIG is a Prevention Infrastructure and Services Cooperative Agreement; SAMHSA will not support Substance Abuse Treatment or Mental Health services with these funds. (**i.e. relapse prevention is part of a substance abuse treatment plan and cannot be supported with SPF SIG funds**).



# SPF SIG Goals

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- ❑ Prevent the onset and reduce the progression of substance abuse, including childhood and underage drinking
- ❑ Reduce substance abuse-related problems in the communities
- ❑ Build prevention capacity and infrastructure at the state and community levels
- ❑ "SAMHSA envisions the SPF SIGs being implemented through partnerships between states and communities"



# Characteristics of the SPF SIG

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- ❑ Use of the Strategic Prevention Framework
- ❑ Data-driven outcomes-based prevention
- ❑ Community level efforts funded by the SPF SIG must include all five steps of the SPF
- ❑ At least 85% of the total grant award must go toward community level efforts
- ❑ Grantees are required to implement evidence-based programs, practices and policies



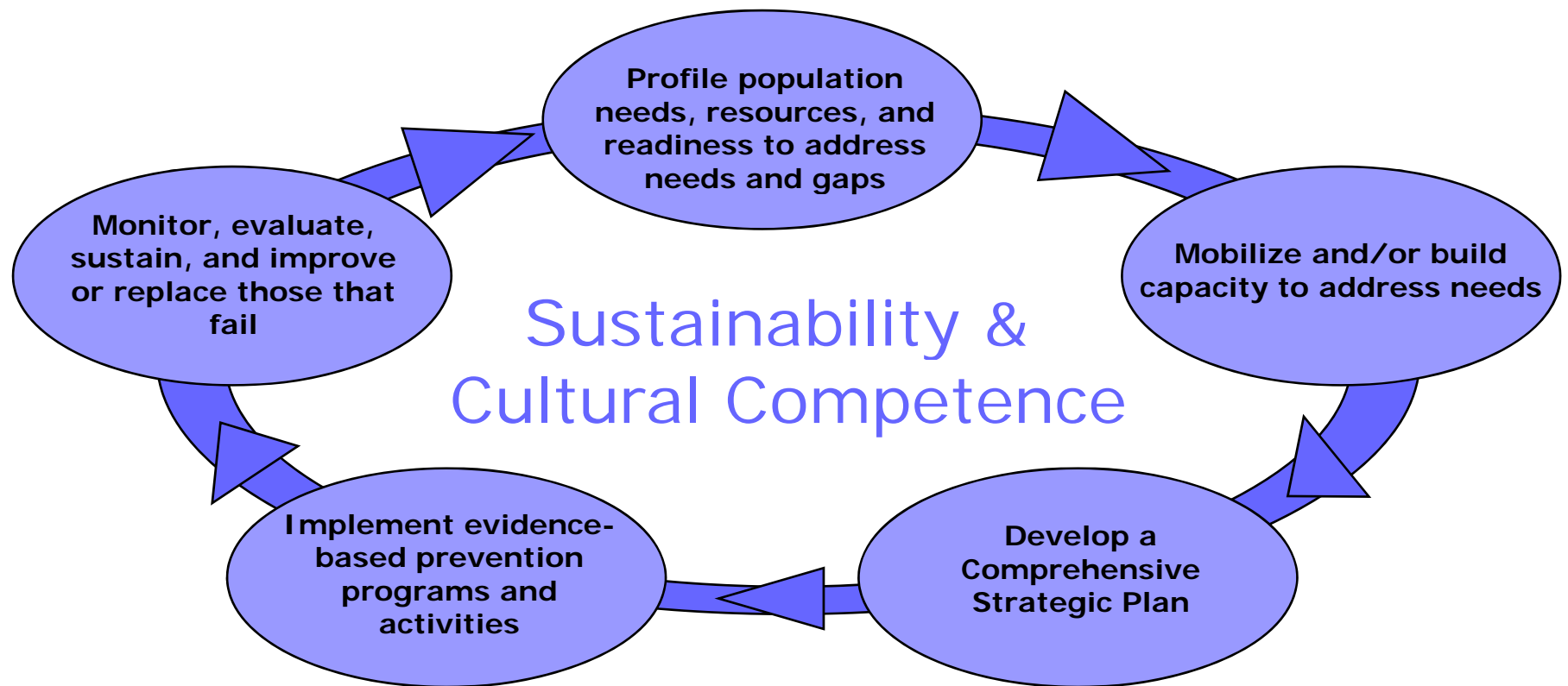
# Characteristics of the SPF SIG (Cont.)

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- ❑ Community/Tribe is the unit of interest and analysis
- ❑ The SPF SIG is built on a community-based approach to prevention and a series of implementation principles that can be operationalized at the State and Community Levels.



# SAMHSA's Strategic Prevention Framework Steps



# Key Principles of the SPF SIG

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- ❑ Public Health Approach
- ❑ Outcomes Based Prevention
- ❑ Follows a Strategic Planning Process – SPF SIG
- ❑ Use Data throughout the process to inform decisions





# Public Health Approach

- The SPF SIG takes a ***public health approach*** to prevent substance related problems.
- A public health approach focuses on change for entire populations (collections of individuals who have one or more personal or environmental characteristic in common).
- Population-based public health considers an entire range of factors that determine health.



# SPF SIG Utilizes Outcomes-based Prevention

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- Begins with understanding the nature and extent of consumption (e.g., Underage drinking) and consequences (e.g., Motor-vehicle crashes) from the beginning is critical for determining prevention priorities and aligning strategies to address them.



# Outcomes-Based Prevention

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**Planning, Monitoring, Evaluation and Replanning**



# Advisory Council

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- ❑ Grantees must have an Advisory Council in place to oversee implementation of the SPF SIG
- ❑ State Advisory Councils must include at least one representative from the following:
  - The Office of the Governor or Chief Executive Officer
  - A core group of drug and alcohol-related agencies identified by the State (including but not limited to public health, education, criminal justice, behavioral/mental health)
  - A representative from SAMHSA/CSAP (the Government Project Officer)
  - Community representatives, college students and youth



# Roles and Responsibilities of the Advisory Council

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- ❑ The Advisory Council must be involved in every aspect of the implementation of the SPF SIG Cooperative Agreement and work in collaboration with the Epidemiology workgroup.
- ❑ Grantees must define the specific roles of the Advisory Council. For example:
  - Types of sub-committees (Ex: Evidence-based workgroup)
  - Duties of sub-committees
  - Structure of sub-committees
- ❑ In addition, the Advisory Council must develop timelines for completion of the Strategic Plan and share those timelines with the Government Project Officer. Those timelines should already have been turned in to your SPO.



# Expectations of the Advisory Council

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## SPF SIG Advisory Council Expectations:

- Attend SPF SIG Advisory Council Meetings
- Have a connection to the Governor's Office
- Have a Community as well State perspective
- Diversity- representative of the State Population
- Focus on getting the work done
- Have a positive relationship to SPF SIG Staff
- Have a positive relationship to SPF SIG Epi Workgroup



# Expectations of the Advisory Council (cont.)

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## SPF SIG Advisory Council Expectations:

- Choose in conjunction with the SEOW the Priority Need
- Choose and agree upon subrecipient communities to support with SPF SIG funds.
- Choose and agree upon the funding allocations model:
  - Highest Need Model
  - Highest contributors Model
  - Equity Model
  - Hybrid (combination of the Models)
- Seek Training and TA for the Advisory Council from the CAPT for every step
- Give final approval to the Strategic Plan
- Make implementation decisions throughout the 5 years of the grant.



# Epidemiological Workgroups

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- Grantees are required to establish and manage (or work with an existing) an Epidemiological Workgroup (SEW)
  - Term & Condition requires State grantees to confirm that the State will expend a minimum of \$150,000 each year and Tribal grantee to expend \$50,000 for SEW activities
  - RFA requires that the SEW function for the duration of the 5-year funding





# Epidemiological Workgroups

## Purpose

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- ❑ Bring systematic, analytical thinking to the causes and consequences of the use of substances in order to effectively and efficiently utilize prevention resources
- ❑ Promote data-driven decision making at all stages in the Strategic Prevention Framework
- ❑ Promote cross systems planning, implementation, and monitoring efforts
- ❑ Provide core support to the SPF Advisory Council



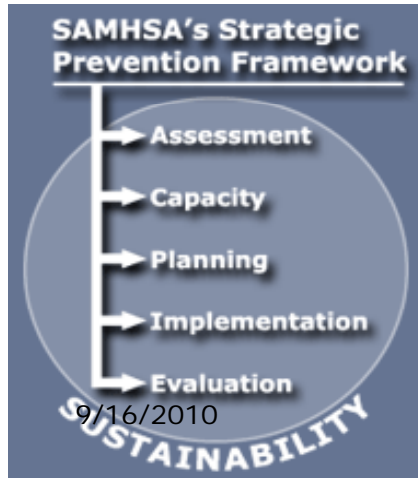
# Evidence-based Workgroup

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- ▣ Grantees will develop an Evidence-based Practices (EBP) Workgroup during the first 4 months of the grant, and maintain this workgroup over the life of the grant
- ▣ The EBP workgroup will review each of the selected subrecipient's Strategic Plans for approval and make recommendations as needed

# SPF SIG Step #1

## Conducting a State-wide Needs Assessment - The Job of the Epidemiology Workgroup



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# SPF Step #1 Requirements

## Profile Population Needs, Resources, And Readiness To Address The Problems And Gaps In Service Delivery

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### State requirements

- ❑ Develop or evaluate and maintain an existing epidemiological workgroup.
- ❑ Develop and update on a regular an epidemiological profile.
- ❑ The assessment should include:
  - ❑ A profile of consumption and related problems in the state
  - ❑ Current capacity to implement the SPF
  - ❑ Gaps in services and capacity;
  - ❑ Readiness to act;
- ❑ Develop and update community profiles.

Most of the first year will be devoted to completing step one and developing a strategic plan.



# Key Milestones In Step 1 Include:

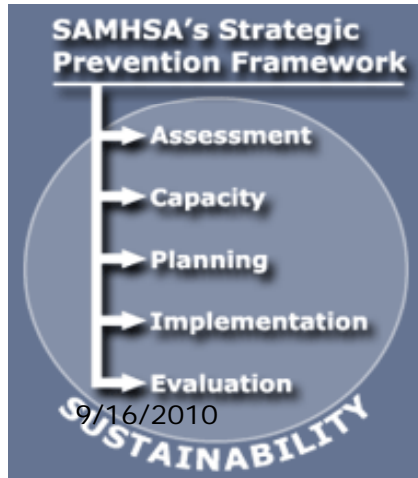
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- ❑ Enhance and maintain an epidemiological workgroup
- ❑ Collaboration with Advisory Council
- ❑ Collection and analysis of epidemiological data
- ❑ Development of problem statements
- ❑ Identification of potential geographic target areas and populations
- ❑ Assessment of readiness, external factors, and potential barriers to success
- ❑ Assessment of organizational, fiscal, and leadership capacity
- ❑ Assessment of cultural competence
- ❑ Analysis of service gaps



# SPF SIG Step #2

## Building State Prevention System Capacity



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# Step 2. Capacity

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Capacity involves:

- ❑ Mobilizing resources within a geographic area (state/tribe/community).
- ❑ Engaging key stakeholders, coalitions, and service providers to plan and implement sustainable prevention efforts in steps 3-4 of the SPF.
- ❑ Training Key Stakeholders in Prevention Science
- ❑ Developing cultural competence and building on the existing prevention infrastructure.
- ❑ Mobilizing both financial and organizational resources.



# Key Milestones Of Step 2 Include:

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- ❑ Creation of sustainable partnerships
- ❑ Introduction of training and education to promote readiness, cultural competence, leadership, and data collection, monitoring, and evaluation capacity
- ❑ Meetings and workshops with key stakeholders, coalitions, and service providers
- ❑ The state will put a system in place to support community level capacity building.





# Key Outcomes For Step 2 :

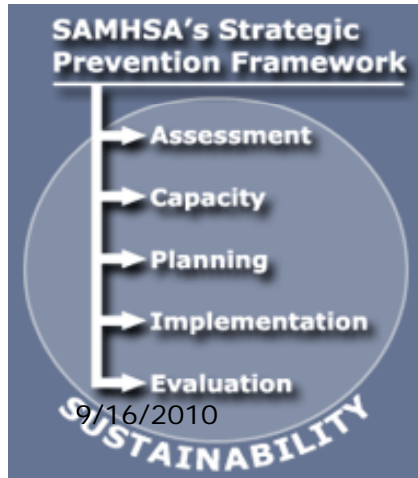
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- ❑ A prevention system with the capacity to plan and address the needs identified in step 1.
- ❑ The infrastructure to implement and sustain the SPF.



# SPF SIG Step #3

## Developing a Comprehensive Strategic Plan



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# SPF Step #3 Requirements

## Develop A Comprehensive Strategic Plan

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### State requirements:

- ❑ Develop a state strategic plan that uses statewide needs assessment data to:
  - ❑ Articulate a vision
  - ❑ Identify priorities determined by the work of the EPI workgroup and agreed upon by the advisory council
  - ❑ Identify key milestones and outcomes
  - ❑ Involve private and public service systems
  - ❑ Identify required training
  - ❑ Identify appropriate funding mechanisms to allocate resources to target communities
  - ❑ Sustain infrastructure



# SPF Step #3 Requirements (Continued)

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- Describe how you will address:
  - Necessary infrastructure development
  - Underage drinking initiative
- The state strategic plan must be data-driven and focused on addressing the most critical needs in the state.
- **The state strategic plan must be approved by the SAMHSA/CSAP review team and your state project officer before implementation activities can begin.**



# SPF Step #3 Requirements

## Develop A Comprehensive Strategic Plan

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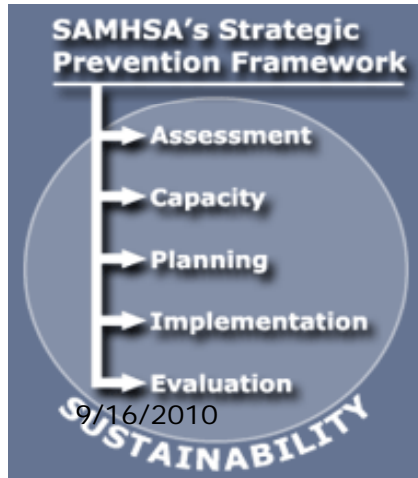
Community requirements:

- ❑ Develop a strategic plan that articulates a vision for prevention activities and organizing and implementing prevention efforts based on:
  - ❑ Documented needs
  - ❑ Identified resources and strengths
  - ❑ Measurable objectives and performance measures
  - ❑ Baseline data
- ❑ Identifies evidence-based programs, policies and practices to be implemented
- ❑ Includes a plan for evaluating their efforts
- ❑ Adjust plans as the result of ongoing needs assessment, monitoring, and evaluation
- ❑ Create a long-term strategy to sustain policies, programs, and practices



# SPF SIG Step #4

Implementing evidence-based prevention programs and infrastructure development activities



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# SPF Step #4 Requirements

## Implement Infrastructure Development Activities

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### Grantee Requirements:

- Build capacity to provide the infrastructure and other necessary support for SPF process
  - Needs assessment
  - Capacity building
  - Strategic Planning
  - Implementation
  - Monitoring and Evaluation



# SPF Step #4 Requirements

## Implement Evidence-based Prevention Programs And Infrastructure Development Activities

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Implementation involves:

- ❑ Taking action guided by the Strategic Plan created in Step 3 of the SPF.
  
- ❑ Strategies must be evidence based
  - Federal List of Evidence-Based Programs
  - Peer reviewed journal with proven effectiveness
  - Documented effectiveness

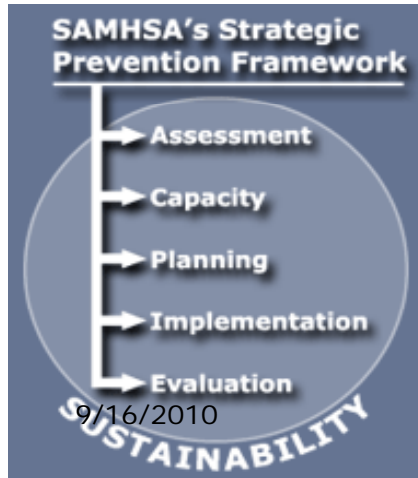
Detailed information on the process can be found in the CSAP document  
"Identifying and Selecting Evidence-Based Interventions"





# SPF SIG Step #5

Monitor process, evaluate effectiveness, sustain effective programs/activities, and improve or replace those that fail



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# Step Five Requirements - Grantee

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- ❑ Develop and implement a State evaluation that includes process and outcomes evaluation
- ❑ The final evaluation report must be provided to SAMHSA/CSAP within 90 days after the end of the grant
- ❑ The final evaluation plan must also include lessons learned
- ❑ Adjust implementation plans based on monitoring/evaluation activities
- ❑ Conducting ongoing monitoring and evaluation activities, including both process and outcome evaluation

# Step Five Requirements -Grantee

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- ❑ Provide training and technical assistance regarding evaluation and performance measurement
- ❑ Identify Successes
- ❑ Identify and encourage needed improvement
- ❑ Promote sustainability of effective policies, programs and practices



# Step Five Requirements -Community

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- ❑ Monitor and evaluate activities
- ❑ Provide performance data to State/Tribe
- ❑ Collect and report performance data on National Outcomes domains
- ❑ Evaluate the implementation of the Strategic Prevention Framework
- ❑ Track progress in achieving the SPF SIG goals

# Step Five Requirements -Grantee

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## Additional Requirements

- ❑ Submit revisions to data collection/evaluation plan based on results of the Needs Assessment and the resulting SPF SIG Strategic Plan.
- ❑ Identify sources of comparison data at State and community levels for National Outcomes domains



# Key Milestones Of Step 5 Include:

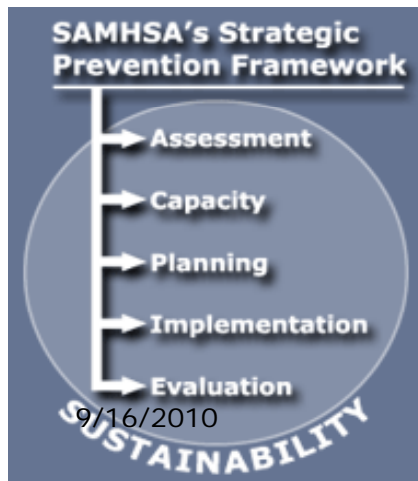
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- ❑ Consultation and collaboration with evaluation team
- ❑ Comprehensive evaluation plan at all levels
- ❑ Process and outcome evaluation
- ❑ Collection of required process and outcome data
- ❑ Review of policy, program, and practice effectiveness
- ❑ Development of recommendations for quality improvement
- ❑ Evaluation report and updates



# Cultural Competence, Sustainability, And The SPF SIG Process

## Guidance



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# Questions to Consider around Cultural Competence

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- ❑ What is the organization's perspective and attitude regarding the worth of cultural competence?
- ❑ What factors or attributes within the target population promote the use of harmful substances?
- ❑ How will you determine those factors or attributes and with what means will you target them for change?
- ❑ Does the makeup of your organization and staff reflect the makeup of the target community?





# Questions To Consider Around Cultural Competence (Cont.)

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- ❑ Are there policies and procedures in place that ensure the delivery of culturally competent services?
- ❑ Does your staff and other service providers have the requisite attitudes, knowledge and skills to deliver culturally competent services?
- ❑ Does your organization have or will obtain the resources required to deliver or facilitate the delivery of culturally competent services?
- ❑ Does your organization have or will obtain the resources required to deliver or facilitate the delivery of evidence-based prevention services in a culturally competent manner?



# Tips For Sustainability – Western CAPT Publication May 1, 2006

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- Action 1: implement with quality
- Action 2: measure effectiveness
- Action 3: align the characteristics of the preventive intervention with stakeholder needs
- Action 4: develop and nurture positive relationships
- Action 5: cultivate champions and leaders among key stakeholders



# Tips For Sustainability – Western CAPT Publication May 1, 2006

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- ❑ Action 6: encourage ownership
- ❑ Action 7: build administrative structures and linkages
- ❑ Action 8: adopt supportive policies and procedures
- ❑ Action 9: acquire appropriate expertise
- ❑ Action 10: secure resources



# Questions and Concerns

